



# Listing of state licenses, registrations and exemption filings

## DB Private Wealth Mortgage Ltd.

1 Columbus Circle, New York, NY 10019  
800-669-3757 (NMLS #2230)

State	Date issued	License type and number	Address of agency
CA	6/5/2007	Finance Lender License No. 603E830 Supervised by the California Department of Business Oversight.  Loans made or arranged pursuant to a California Financing Law license.	Department of Financial Protection and Innovation 320 West 4th Street, Suite 750 Los Angeles, CA 90013-2344
MN	3/10/2014	Residential Mortgage Originator Exemption No. MN-OX-2230	Minnesota Department of Commerce Financial Institutions Division 85 7th Place East, Suite 500 St. Paul, MN 55101
NV	4/4/2007	Exempt Company Registration No. 2955	Nevada Department of Business and Industry, Division of Mortgage Lending Licensing, 1830 College Parkway, Suite 100 Carson City, NV 89706
NC	11/6/2007	Claim of Exemption	North Carolina Commissioner of Banks Mortgage Division 316 West Edenton Street Raleigh, NC 27603
UT	12/9/2015	Residential First Mortgage Notification (Notification No. UDFI-RFMN2015)	State of Utah Department of Financial Institutions 324 South State Street, Suite 201 Salt Lake City, UT 84111

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